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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|-----------------------|---------------------|------------------|
| 09/750,303 | 12/29/2000 | Donald Brent Marshall | 56130.000041 | 6727 |

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Washington, DC 20006-1109

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| EXAMINER |
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SORRELL, ERON J

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| ART UNIT | PAPER NUMBER |
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2182

DATE MAILED: 03/15/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

**Advisory Action
Before the Filing of an Appeal Brief**

Application No.

09/750,303

Applicant(s)

MARSHALL ET AL.

Examiner

Eron J Sorrell

Art Unit

2182

--The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

THE REPLY FILED 23 February 2005 FAILS TO PLACE THIS APPLICATION IN CONDITION FOR ALLOWANCE.

1. ☒ The reply was filed after a final rejection, but prior to filing a Notice of Appeal. To avoid abandonment of this application, applicant must timely file one of the following replies: (1) an amendment, affidavit, or other evidence, which places the application in condition for allowance; (2) a Notice of Appeal (with appeal fee) in compliance with 37 CFR 41.31; or (3) a Request for Continued Examination (RCE) in compliance with 37 CFR 1.114. The reply must be filed within one of the following time periods:

- a) ☐ The period for reply expires _____ months from the mailing date of the final rejection.
b) ☒ The period for reply expires on: (1) the mailing date of this Advisory Action, or (2) the date set forth in the final rejection, whichever is later. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of the final rejection.

Examiner Note: If box 1 is checked, check either box (a) or (b). ONLY CHECK BOX (b) WHEN THE FIRST REPLY WAS FILED WITHIN TWO MONTHS OF THE FINAL REJECTION. See MPEP 706.07(f).

Extensions of time may be obtained under 37 CFR 1.136(a). The date on which the petition under 37 CFR 1.136(a) and the appropriate extension fee have been filed is the date for purposes of determining the period of extension and the corresponding amount of the fee. The appropriate extension fee under 37 CFR 1.17(a) is calculated from: (1) the expiration date of the shortened statutory period for reply originally set in the final Office action; or (2) as set forth in (b) above, if checked. Any reply received by the Office later than three months after the mailing date of the final rejection, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

NOTICE OF APPEAL

2. ☐ The reply was filed after the date of filing a Notice of Appeal, but prior to the date of filing an appeal brief. The Notice of Appeal was filed on _____. A brief in compliance with 37 CFR 41.37 must be filed within two months of the date of filing the Notice of Appeal (37 CFR 41.37(a)), or any extension thereof (37 CFR 41.37(e)), to avoid dismissal of the appeal. Since a Notice of Appeal has been filed, any reply must be filed within the time period set forth in 37 CFR 41.37(a).

AMENDMENTS

3. ☐ The proposed amendment(s) filed after a final rejection, but prior to the date of filing a brief, will not be entered because
(a) ☐ They raise new issues that would require further consideration and/or search (see NOTE below);
(b) ☐ They raise the issue of new matter (see NOTE below);
(c) ☐ They are not deemed to place the application in better form for appeal by materially reducing or simplifying the issues for appeal; and/or
(d) ☐ They present additional claims without canceling a corresponding number of finally rejected claims.

NOTE: _____. (See 37 CFR 1.116 and 41.33(a)).

4. ☐ The amendments are not in compliance with 37 CFR 1.121. See attached Notice of Non-Compliant Amendment (PTOL-324).
5. ☐ Applicant's reply has overcome the following rejection(s): _____.
6. ☐ Newly proposed or amended claim(s) _____ would be allowable if submitted in a separate, timely filed amendment canceling the non-allowable claim(s).
7. ☐ For purposes of appeal, the proposed amendment(s): a) ☐ will not be entered, or b) ☐ will be entered and an explanation of how the new or amended claims would be rejected is provided below or appended.
The status of the claim(s) is (or will be) as follows:
Claim(s) allowed: _____.
Claim(s) objected to: _____.
Claim(s) rejected: _____.
Claim(s) withdrawn from consideration: _____.

AFFIDAVIT OR OTHER EVIDENCE

8. ☐ The affidavit or other evidence filed after a final action, but before or on the date of filing a Notice of Appeal will not be entered because applicant failed to provide a showing of good and sufficient reasons why the affidavit or other evidence is necessary and was not earlier presented. See 37 CFR 1.116(e).
9. ☐ The affidavit or other evidence filed after the date of filing a Notice of Appeal, but prior to the date of filing a brief, will not be entered because the affidavit or other evidence failed to overcome all rejections under appeal and/or appellant fails to provide a showing of a good and sufficient reasons why it is necessary and was not earlier presented. See 37 CFR 41.33(d)(1).
10. ☐ The affidavit or other evidence is entered. An explanation of the status of the claims after entry is below or attached.

REQUEST FOR RECONSIDERATION/OTHER

11. ☒ The request for reconsideration has been considered but does NOT place the application in condition for allowance because:
See Continuation Sheet.
12. ☐ Note the attached Information Disclosure Statement(s). (PTO/SB/08 or PTO-1449) Paper No(s). _____.
13. ☐ Other: _____.

JEFFREY GAFFIN
SUPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER 2100

The applicant's arguments filed 2/23/05 have been fully considered, but they are not persuasive. The applicant argues: 1) there is no teaching that would lead one of ordinary skill in the art to modify the system and method of Feldman with the teachings of Hirota, absent improper hindsight (see lines 7-12 of page 12); and 2) The proposed combination of references fails to teach or suggest "storing resource identification information in a centralized repository wherein resource identification information is associated with the unique specifier;" "enabling resource data retrieval based on the unique specifier wherein the resource data comprises dependency data;" and "verifying the dependency data at a deployed resource repository." as recited in independent claim 1 and similarly in claim 32 (see first full paragraph of page 14). As per argument 1, the Examiner disagrees. Feldman teaches a method and system for allocating resources to entities based on entitlement criteria which determines if the entity is allowed to access the resource (see abstract). Hirota teaches a system and method of resource allocation wherein a determination is made of whether the resource is available or currently in use by another entity (a verification of dependency data) (see abstract). Hirota further teaches that the above mentioned determination is useful because it prevents a deadlock situation from occurring when one entity requests and waits for the use of a resource that is currently not available (see paragraph bridging columns 2 and 3). This situation is clearly applicable to the system of Feldman because one of ordinary skill in the art would have recognized that it is conceivable that an accessor may try to request access to a resource already in use and it would have been obvious to modify the system of Feldman such that it performs a verification to determine if the resource is in use, after it determines the accessor is entitled, to prevent a deadlock. As per argument 2, the Examiner disagrees. Feldman teaches, at lines 41-45, "The system may also have a third memory (centralized repository) for storing at least one unique resource name corresponding to a resource (resource identification information associated with a unique specifier) and an entitlement expression associated with each resource name." This citation clearly shows Feldman teaches the limitations of storing resource identification information in a centralized repository, wherein resource identification information is associated with the unique specifier and enabling resource retrieval based on the unique specifier. The limitations of the resource data comprising dependency data and verifying the dependency data are taught in the above cited sections of Hirota.